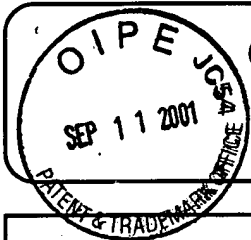


09-19-01

CPA/1645/14

Please type a plus sign (+) inside this box → ☐

PTO/SB/29 (12/97) (modified)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:
☐ DUPLICATE

#17
JM
9/26/01

ADDRESS TO: Assistant Commissioner for Patents Box CPA Washington, DC 20231	Attorney Docket No.	406462000102
	First Named Inventor	George H. LOWELL
	Express Mail Label No.	EL719486362US
	Total Pages	2

RECEIVED
SEP 24 2001
TECH CENTER 1600/2900

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),
(continued prosecution application (CPA)) of prior application number 09/407,327,
filed on September 28, 1999, entitled ORAL OR INTRANASAL VACCINES USING HYDROPHOBIC COMPLEXES
HAVING PROTEOSOMES AND LIPOPOLYSACCHARIDES

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either:
(1) complete as defined by 37 CFR 1.51(b), 1995, or (2) the national stage of an international application in compliance with
35 U.S.C. 371.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be
filed under CFR 37 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior
application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or
continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the
applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR
1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or
similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the
specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be
entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the
application number identified in such request, 37 CFR 1.78(a).

- ☒ Enter the unentered amendment previously filed on July 13, 2001 under 37 CFR 1.116 in the prior nonprovisional application.
- ☐ A preliminary amendment is enclosed.
- ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
.....
 - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- ☐ Information Disclosure Statement (IDS) is enclosed:
 - ☐ PTO-1449
 - ☐ Copies of IDS Citations

09/21/2001 STEFFER 00000000 031532 09407327

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	55-20 =	35	X \$18.00 =	\$630.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2 -3 =	0	X \$80.00 =	\$0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$270.00 =	\$270.00
				BASIC FEE (37 CFR 1.16(a))	\$710.00
				Total of above Calculations =	\$1610.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$*
	TOTAL =				\$1610.00

6.* Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to
Deposit Account No. 03-1952 (reference docket no. 406462000102):

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

8.* ☐ A check in the amount of \$_____ is enclosed.

9.* ☒ Other: Declaration of George H. Lowell Pursuant to 37 C.F.R. §1.132

NOTE:

The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

10. NEW CORRESPONDENCE ADDRESS

Karen B. Dow
Registration No. 29,684

Morrison & Foerster LLP
3811 Valley Centre Drive, Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-7960
Facsimile: (858) 720-5125

Dated: September 11, 2001

Respectfully submitted,

By: Karen Babyak Dow
Karen B. Dow
Registration No. 29,684

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL719486362US

Date of Deposit: September 11, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box CPA, Washington, D.C. 20231.

Nora Durant
Nora Durant